



HLC Accreditation 2020-2021

Evidence Document

Office of the President

Internal Audit

Internal Audit in Action Dashboard, Fall 2018

Additional information:

INTERNAL AUDIT IN ACTION DASHBOARD

September 1 – December 31, 2018

1. Wichita State University Intercollegiate Athletic Association, Inc.

Collaborated with the Athletic Association's external audit firm to complete its 1) annual financial statement audit and 2) NCAA-specified agreed-upon procedures engagement, thus satisfying the requirements of NCAA Bylaw 3.2.4.15.

2. KMUW-FM Radio

Collaborated with the station's external audit firm to complete its 1) annual financial statement audit and 2) annual financial report of non-federal financial support. Both reports are required by Corporation for Public Broadcasting (CPB) grant requirements. The Community Service Grant KMUW received from CPB for 2018 totaled \$196,444.

3. University-Controlled Affiliated Corporations Financial Statements and Tax Returns

Reviewed audited financial statement drafts and tax returns prior to their publishing and filing at the request of the financial officers and audit firm partner. This review dovetails with the affiliated corporations review for the Board of Regents.

4. WSU Policy Manual Transition

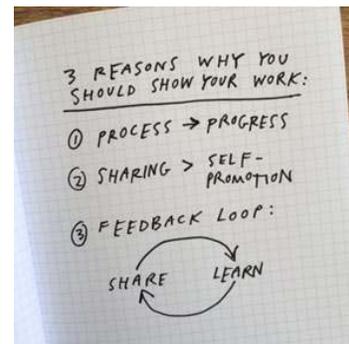
Worked with General Counsel's Office and Media Resources Center to ensure a smooth transition for their assuming responsibility for *WSU Policies and Procedures Manual* maintenance that was previously performed by the Office of Internal Audit.

5. Association of College and University Auditors Annual Conference

Earned continuing professional education (CPE) credits in an array of audit, compliance and risk management topics. Annual CPE maintains several professional memberships and certifications. Conference was in New Orleans, Louisiana, from September 9-13.

6. WSU Audit Update Blog

Poor risk management can divert time and money away from a university's mission, or the mission of its individual colleges, departments or programs. Adopting the "Show Your Work" philosophy of writer and artist Austin Kleon, the blog allows me to promote the role of internal audit, share items of interest, and raise awareness of risk management issues in higher education and their potential impact. My goal is to help foster a risk-intelligent community that is alert to and proactively manages risk at WSU.



AustinKleon.com - Used by Permission