



Faculty Senate Archives

Faculty Senate

Academic year 2018-2019

Attachment 2 to Faculty Senate Meeting January 28, 2019

Low Performance Dismissal Policy Draft Track Changes

Throughout the year, Committee motions for changes in policy and/or stances on issues, if any, shall take the following written form:

b) Rationale for the motion.

To provide consistency and clarity related to policy 4.22 Performance related Dismissal, 4.23 Faculty evaluation and chronic low performance, 4.15 Post-Tenure Review for Faculty. To maintain within the policy faculty reviews and actions related to those reviews a process that is fair, unbiased, non-retaliatory and non-discriminatory.

Issue – 4.23 Faculty Evaluation is more about the process of how the faculty are evaluated and then at the end is added a section on Chronic low Performance – recommend that the content of this part of the policy be modified and moved to 4.22 and modify title to Low Performance and Dismissal for cause (consider renumbering so this comes after the faculty evaluation process)

Issues – there is not clarity on the number of members of the ~~Informal~~ Review Committee in 4.23; not all the options for recommendations by this committee are clear; The process for the hearing as provided is confusing for the faculty member, committee and Provost and Senior Vice President.

c) Committee name, date and authors.

) The motion DRAFT RECOMMENDATION

1. ~~Delete the policy CHRONIC LOW PERFORMANCE, under 4.22 Faculty Evaluation~~
2. Modify 4.23 as noted below:

4.23 / Low Performance and Dismissal for Cause

Policy Statement:

This statement is intended to establish a specific and clear procedure for identifying and addressing instances of a faculty member failing to meet the minimum level of performance or expectations of professional fitness and guidelines for remediation where appropriate. When a faculty member who has tenure at Wichita State University or whose term of appointment has not expired, who does not meet the minimum acceptable level of performance or when reason to question a faculty's professional fitness is documented, the process outlined below is provided to allow a fair, unbiased, non-retaliatory and non-discriminatory for remediation and/or dismissal for cause.

Low Performance Guidelines:

Each University department/unit shall use established criteria for minimum acceptable levels of performance that have been communicated to the members within the department/unit as the basis for annual evaluations.

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develop, with input from its faculty, a set of guidelines approved by the dean, describing the minimum acceptable level of performance for all applicable areas of responsibility (scholarship/teaching/service) for its faculty. Faculty responsibilities should be linked to their role statement (Adapted from Chronic low performance)

The chair*~~/director~~ and/or the Faculty Activity Report Review Committee (or similar committee) shall determine if the overall performance of a faculty member in their department falls below the minimum level of ~~performance, role expectations. If there is no Faculty Activity Report Review Committee, the decision is based on the chair/director statement. If there is disagreement between the chair/director and the Faculty Activity Report Review Committee, the decision to enact the procedures of for low performance review will be decided by the college Dean.~~

* Chair denotes the administrator of the Unit (i.e. Director or other title of educational unit: Department, School, Center, etc., etc.).

Procedures for Low Performance:

It is highly recommended that the Chair and/or Dean utilize the expertise and experience of the Human Resources Department in working with issues of Low Performance and/or Dismissal for Cause.

Faculty Senate Ombudspersons are available to provide assistance to faculty.

A: ~~One~~ First Annual Low Performance rating in the last four years:

1. The chair shall discuss with the faculty member the specific area(s) of responsibility with low performance related to their role statement/job description and mutually develop a ~~suggested~~ plan of action to improve performance and/or remediation. A summary of this discussion, that includes the plan of action, will be added to the annual evaluation documents and a copy provided to the faculty member.

~~1.~~ If the faculty member disagrees (rejects) the finding of a first low performance rating, they may submit a written rebuttal with justification/documentation. The dean will then review the low performance judgement and make a final decision.

2.

B. ~~Two~~ Second Annual Low Performance Ratings in ~~any~~ the last four-year period:

1. A faculty member receives a second annual evaluation which reflects a finding in that department/unit that ~~he or she has~~ they have failed to meet the minimum level of performance in a specific area or areas of responsibility related to their role statement or job description. This can be in the same or different identified area addressed in the first Low Performance rating.

2. The chair shall meet with the faculty member to clearly document areas of low performance that need to improve and provide a plan for remediation.

a. Remediation may include appropriate provisions for faculty development, such as counseling, leave of absence, or a change in teaching assignments. Other remediation steps may be offered, subject to review by the Faculty Affairs Committee of the Faculty Senate Dean or ~~and~~ the Provost and Senior

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Vice President. Remediation should begin as soon as possible and will be funded by the University. The faculty member's annual review document for the subsequent year should reflect the method of remediation and document its level of success.

~~a-b.~~ A summary of this discussion meeting, that includes the plan for remediation, will be added to the annual evaluation documents and a copy provided to the faculty member.

3. If ~~mutual consent is not reached on the faculty's~~ the faculty member disagrees (rejects) the finding of a second low performance ratings a college faculty review committee will be appointed to review the faculty member's annual evaluations and other relevant documents.
 - a. Members: Three ~~tenured~~ faculty members from outside that department/unit but within the same college; two members must be in the same teaching category as the faculty being evaluated (tenure track or teaching/non-tenure track).
 - b. The faculty member and the chair shall each select one reviewer, and they shall jointly select the third person (if there is no agreement to the third person the Dean will select the third person).
 - c. The reviewers shall submit a written report to the faculty member, the chair, and the dean stating that by majority vote ~~they have verified that departmental guidelines were followed and~~ concluded either that (a) there is sufficient evidence of low performance ~~or and that~~ remediation is necessary; or (b) there is ~~not~~ insufficient evidence of low performance. The dean will then make the final decision regarding chronic low performance after meeting with the faculty member and the chair.

C. Dismissal for Cause- Recommendation by Chair and Dean to the Provost and Senior Vice President

- 1) Chronic Low Performance -Three Annual Low Performance Ratings in any five-year time period. ~~This can be in the same or different identified areas addressed in the two other Low Performance ratings.~~ OR
- 2) Documented behavior that questions the professional fitness (may include but is not limited to: incompetence, personal conduct, and negligence) of the faculty member. ~~at anytime~~ any time.

Faculty Member Response

- a) Accept the Decision of Dismissal
- b) Disagrees with Decision of Dismissal
 - 1) The faculty member will be informed that he/she has they have 10 days to contest the decision in writing and request a formal hearing review.

If ~~the faculty member disagrees (rejects) the finding of Dismissal for Cause~~ mutual consent is not reached to performance ratings, professional fitness or dismissal, an University Informal Review Committee is formed.

- 1) Membership of the University Informal Review Committee:

- a) Faculty members (not less than 3) will be jointly named by the president of the Faculty Senate and the University president as soon as possible after the faculty member contests the decision in writing and requests a formal review.
- b) The members of the hearing review committee should will be chosen on the basis of their objectivity and competence, and the regard in which they are held in the academic community
- c) The committee should will elect its own chairperson.

2) Committee Charge

- a) The committee is charged to evaluate annual reviews or documents documentation of related to low performance or professional fitness. The committee will meet with the faculty member and other parties to gather additional information.
- b) Set a hearing date in collaboration with the Provost and Senior Vice President to review Dismissal for Cause Recommendation.
- c) The faculty member will have at least 20 days to prepare a defense at the hearing review meeting.
- a) The published regulations applicable to the conduct of the formal committee's inquiry and to the rights of the faculty member are in the Kansas Board of Regents, Policy and Procedures Manual (1995 edition) item 8(4) on page 7F and are repeated as follows: "the accused teacher shall be informed before the hearing in writing of the charges against him and shall have the opportunity to be heard in his own defense by all bodies that pass judgment upon his case. He may have with him an adviser of his own choosing who may act as counsel. There shall be a full stenographic record of the hearing available to the parties concerned. In the hearing of charges of incompetence, the testimony should include that of teachers and other scholars, either from his own or from other institutions."
- b) The hearing should give opportunity to the faculty member or his/her their counsel and the representative designated by the Provost and Senior Vice President to argue orally before it, regarding the Dismissal for Cause

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Upon the University Informal Review Committee Conclusion:

of its review, the University Informal Review Committee should will make one of the following recommendations, which includes rationale, to the faculty member, the Provost and Senior Vice President, and the president of the University:

- (i) Recommends supporting dismissal for cause.
- (ii) Does not recommend dismissal for cause Concludes that the concerns which have been raised, even if proved, do not merit dismissal.

University President's Decision

After reviewing the recommendation of the University Informal Review Committee, the president of the University will determine whether the case for dismissal should proceed. ~~If the decision is to proceed, a~~ Communication from the ~~Provost and Senior Vice President~~ President addressed to the faculty member in writing will inform him/her/them of the President's decision. ~~If to dismiss him/her for cause, the decision is to dismiss the faculty member for cause-the faculty member, the letter will state the grounds for dismissal, and indicate the effective date of the end of the faculty member's employment and any specific arrangements to be made regarding separation salary or other relevant matters.~~

~~2) Faculty Member Response~~

~~a) Accept the Decision of Dismissal~~

~~b) Disagrees with Decision of Dismissal~~

~~(1) The faculty member will be informed that he/she has 10 days to contest the decision in writing and request a formal hearing.~~

~~(2) A Committee of Faculty members (not less than 3) will conduct the hearing and make a final recommendation(s) to the University president~~

~~(a) Committee members will be jointly named by the president of the Faculty Senate and the University president as soon as possible after the faculty member requests a formal hearing~~

~~(b) The members of the hearing committee should be chosen on the basis of their objectivity and competence and the regard in which they are held in the academic community~~

~~(3) Parties to the hearing may offer challenges for cause to those named to the committee, and those challenged will be replaced if either appointing official finds there is sufficient cause to do so.~~

~~(4) The committee should elect its own chairperson~~

~~c) A meeting date will be set by the Provost and Senior Vice President and the faculty member will have at least 20 days to prepare a defense.~~

~~d) Hearing process~~

~~(1) Only grounds for dismissal considered by the Informal Review Committee may be considered as grounds for dismissal. The Provost and Senior Vice President may add to or amend charges by reconvening the Informal Review Committee.~~

~~(2) The published regulations applicable to the conduct of the formal committee's inquiry and to the rights of the faculty member are in the *Kansas Board of Regents, Policy and Procedures Manual (1995 edition) item 8(4) on page 7F* and are repeated as follows: "the accused teacher shall be informed before the hearing in writing of the charges against him and shall have the opportunity to be heard in his own defense by all bodies that pass judgment upon his case. He may have with him an adviser of his own choosing who may act as counsel. There shall be a full stenographic record of the hearing available to the parties concerned. In the hearing of charges of incompetence, the~~

testimony should include that of teachers and other scholars, either from his own or from other institutions."

- e) ~~The hearing committee should give opportunity to the faculty member or his/her counsel and the representative designated by the Provost and Senior Vice President to argue orally before it, and should formulate its recommendation in conference, on the basis of the hearing.~~
- f) ~~Committee Findings: The hearing committee should make explicit findings with respect to each of the grounds of removal presented. The faculty member, Provost and Senior Vice President and President should be notified of the committee's recommendation in writing and should be given a copy of the record of the hearing.~~

~~The University president will receive and consider the hearing committee's recommendations. If the decision is to dismiss the faculty member, the University president will so inform the faculty member in writing, stating the grounds for dismissal, and indicating the effective date of the end of the faculty member's employment and any specific arrangements to be made regarding separation salary or other relevant matters.~~

Implementation:

This policy shall be included in the *WSU Policies and Procedures Manual* and shared with appropriate constituencies of the University.

The Provost and Senior Vice President shall have primary responsibility for publication, dissemination and implementation of this University policy.

Revision Date:

November 1, 1998

August 18, 2000

August 2017

DATE