



HLC Accreditation 2016-2017

Federal Compliance Form

U.S. Department of Education

Federal Student Aid

Appendix I

Letter to Kansas Governor Sam Brownback

Additional information:



July 18, 2013

Mr. Sam Brownback, Governor
State of Kansas
300 SW 10th Ave., Ste 241 S
Topeka, KS 66612-1590

Certified Mail
Return Receipt Requested
Domestic Return Receipt
7008 1830 0001 6373 7189

RE: Annual Submission Citation Letter

OPE ID: 00191500, 00195000, 00192700, 00194800, 00192800, 00192600

Dear Governor Brownback:

According to the Department of Education's (Department) records, State of Kansas fiscal year ends June 30, 2012. Therefore, pursuant to 34 C.F.R. § 668.23(a)(4) or (a)(5), CCC's annual audit submission, consisting of its compliance and financial statement audits, for its fiscal year ended (FYE) June 2012, was due to the Department on or before March 31, 2013. The Department sent State of Kansas notices on May 29, 2013 and June 20, 2013 reminding State of Kansas of this requirement. Nevertheless, as of June 30, 2013, the Department has not received a complete and acceptable annual audit submission from State of Kansas for FYE June 2012. This letter advises you that this untimely audit submission constitutes a failure of financial responsibility under the Department's regulations.

Citation for failure to submit acceptable compliance and financial statement audits timely is a past performance violation under 34 C.F.R. § 668.174(a)(3), which results in, among other things, provisional certification, the posting of a letter of credit,¹ and placement on a heightened cash monitoring payment method, for a minimum of five years. Further, 34 C.F.R. § 668.171(e) provides that an institution's failure to submit compliance and financial statement audits by the date permitted and in the manner required under 34 C.F.R. § 668.23 may result in the Department initiating adverse action against the institution, including terminating or revoking the institution's program participation agreement. In addition, if the institution has an application pending for renewal of its certification, the Department may deny that application for continued participation.

Please note that additional administrative actions are pending against your institution based upon this issue. It is therefore imperative that State of Kansas immediately contact

¹ Public Institutions are not subject to the letter of credit requirement.



Kansas City School Participation Division
1010 Walnut Street Suite 336 Kansas City, MO. 64106-2147
www.FederalStudentAid.ed.gov

the Department and submit complete and acceptable compliance and financial statement audits for FYE June 2012. If State of Kansas has evidence which demonstrates that in fact it completed its annual audit submission in a timely manner, State of Kansas must submit that evidence via overnight mail within 7 calendar days from the date of this letter to the following address:

Christopher Thompson
U.S. Department of Education
Federal Student Aid/Program Compliance
1010 Walnut Street Suite 336
Kansas City, MO. 64106-2147

If you have any questions regarding this matter, please contact Christopher Thompson at 816-268-0527 or by email at christopher.thompson@ed.gov.

Sincerely,



Phillip M. Brumback, Sr.
Compliance Manager

cc: North Central Association of Colleges & Schools
Kansas Board of Education